

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>			1. CONTRACT ID CODE		PAGE OF PAGES 1   3		
2. AMENDMENT/MODIFICATION NO. <b>S001</b>		3. EFFECTIVE DATE <b>11/02/2001</b>		4. REQUISITION/PURCHASE REQ. NO.		5. PROJECT NO. (If applicable) <b>N/A</b>	
6. ISSUED BY U.S. Department of Energy Chicago Operations Office 9800 South Cass Avenue Argonne, IL 60439			7. ADMINISTERED BY (If other than Item 6)				
8. NAME AND ADDRESS OF CONTRACTOR (No. street, county, State and ZIP Code)  <b>To All Prospective Offerors</b>			<input checked="" type="checkbox"/> (✓) ✓		9.A. AMENDMENT OF SOLICITATION NO. <b>DE-RP02-02DP00354</b>		
					9.B. DATED (SEE ITEM 11) <b>10/12/2001</b>		
					10.A. MODIFICATION OF Contract/Order NO.		
					10.B. DATED (SEE ITEM 13)		
CODE		FACILITY CODE					
<b>11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS</b>							

☒ The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers ☐ is extended, ☒ is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning 1 copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. **FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER.** If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)  
**N/A**

13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS, IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.	
(✓)	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:
	D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor ☐ is not, ☐ is required to sign this document and return \_\_\_\_ copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

**This Request for Proposals is amended as follows:**

Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)	
15B. CONTRACTOR/OFFEROR	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA	16C. DATE SIGNED
_____ (Signature of person authorized to sign)		BY _____ (Signature of Contracting Officer)	

14. Description of Amendment/Modification

- A. Part I, Section C.5 b.3., the text "Enhanced North American Standard Level I Inspection Requirements" is deleted and replaced with, "Enhanced North American Standard Level VI Inspection Requirements".
- B. Part IV, Section L.18 (a), the first paragraph is deleted and replaced with the following:  
  
"Offerors shall submit four fully executed copies of Standard Form 33, "Solicitation, Offer, and Award," with an original plus four copies of Volume I, Cost/Price Proposal, Offer and Other Documents, and an original plus nine copies of Volume II, Technical and Business Management Proposal."
- C. Part IV, Section L.19, the text "a small business is defined as 500 employees" is deleted and replaced with, "the small business size standard is \$18,500,000."
- D. Part IV, Section L.26 (a)(1), the sentence "Offerors prices should be based on October 1, 2001 dollars." is deleted and replaced with, "For all double asterisked (\*\*) items in Section B, Offerors' prices should be based on October 1, 2001 dollars."
- E. Part IV, Section L.26 (b)8, is deleted in its entirety.
- F. Part IV, Section L.27 (c), BUSINESS MANAGEMENT PROPOSAL, is modified by adding the following subsection (3):  
  
**"(3) Small Disadvantaged Business**  
  
The Offeror shall provide its proposed Subcontracting Plan (See Section J, Attachment 5 and Section I, clause 52.219-9). As part of the Subcontracting Plan, the Offeror shall describe its plans to use Small Disadvantaged Businesses (SDBs) in performance of the contract."
- G. Part IV, Section M.3 (b), the first two sentences are deleted and replaced with, "Using the consensus scoring method, each Offeror's Technical and Business Management Proposal will be point scored."
- H. Part IV, Section M.4 (a), the second and third sentences are deleted and replaced with, "Each of these criteria will be assigned weights and will be point scored."
- I. Part IV, Section M.4 (b), Criterion 5 - Business Management, the third bullet "Small Business - 5%" is deleted and replaced with "Small Disadvantaged Business - 5%". This change is made for both the lease and purchase approaches.
- J. Part IV, Section M.5, Criterion 5 - Business Management, the first two sentences are deleted and replaced with, "Offerors will be evaluated in the following areas:"  
Additionally, Criterion 5 is further modified by adding the following subsection (c).  
  
"(c) Small Disadvantaged Business: Offerors' Small Disadvantaged Business (SDB) Subcontracting Plans will be evaluated with respect to:  
  
- The extent of SDB participation; and  
- The realism of the Offeror's proposed SDB Plan."

Additionally, the answers to questions submitted in response to the Solicitation are attached to this Amendment.

Finally, in view of this Amendment to the Solicitation, the response date for receipt of questions as identified in the Executive Summary Letter is extended to November 09, 2001. No other changes are made to the Solicitation, including the deadline for receipt of proposals.

**QUESTIONS AND ANSWERS  
DE-RP02-02DP00354**

1. Question: Section L.26(a)(1) requires pricing in October 1, 2001 dollars but Section B.5 applies the escalation clause only to DPLH Fixed Hourly Rate. We recommend applying the escalation clause to all pricing elements since it is impossible to anticipate carrier, permit, and fuel charge rates five years in advance of actual utilization.

Answer: Section L.26(a)(1) will be modified to delete the sentence "Offerors prices should be based on October 1, 2001 dollars," and replace it with, "For all double asterisked (\*\*) items in Section B, Offerors prices should be based on October 1, 2001 dollars." All other prices in Section B should be based on the cost at the time of performance, that is, the Offeror is expected to include any anticipated escalation rates in their bid price for those items not marked with a double asterisk.

2. Question: B.3.b.2 requires pricing of 2 transportation casks and trailers. For concepts that require multiple casks to accomplish the 1000-pin shipment, should the price reflect twice the complement of casks required to make the shipment, rather than only two casks as currently written (since two casks may be insufficient to accomplish the designated shipment)?

Answer: No. If DOE chooses the Purchase Approach, only two casks will be required. Also, see the answer to question 3, below.

3. Question: Section C.3.1.c.1, third bullet states that no more than one Transport System at a time shall be present at any of the involved facilities. For concepts requiring multiple casks to achieve the 1000-pin shipment, is it intended to preclude staging the multiple casks at the reactor site or at the TEF, as a means of optimizing TPBAR delivery?

Answer: Yes, the intent is to preclude staging of multiple casks at the reactor site or at the TEF.

4. Question: Section C.3.1.c.1, sixth bullet, uses the term "dedicated trailer". Does DOE wish to have trailers solely dedicated to the TPBAR project or, for casks which a standard commercial trailer is adequate, is this meant only to imply dedication during the period of use for the specific TPBAR shipment?

Answer: The term "dedicated trailer" refers to the trailer that will be used with the transport cask for the period of time it is used for the TPBAR shipments. When the trailer is not being used for TPBAR shipments, it can be used for other purposes. It can be a standard commercial trailer or one specifically designed for the transport cask and tractor.

5. Question: Section L.25(a)(1)(ii) states that the required page size is 8½" x 11". Are 11" x 17" drawings acceptable?

Answer: 11" x 17" drawings are acceptable.

6. Question: Section L.18(a) requires an original and nine copies of the Technical, Business Management, and Cost/Price proposals. L.25(a)(ii) specifies an original and four copies of the Cost/Price Proposal, Offer and Other Documents. How many copies of the Cost/Price proposal are required?

Answer: Section L.25 (a)(ii) is correct. Section L.18(a) will be modified to require an original and four copies of the Cost/Price Proposal, Offer and Other Documents.

7. Question: Section L.26(b)(7)(i) requires that the Operating Ratio be included with the Current Statement of Financial Position and Income Statement. How does DOE define the Operating Ratio?

Answer: Section L.26(b)(7)(i) should have referred to "Operating Ratios", which is a generic term that typically includes: 1) the Current Ratio (ratio of current assets to current liabilities); 2) the Quick Ratio (ratio of cash, marketable securities, and accounts receivable to current liabilities, also referred to as the Acid Test); and 3) the Absolute Liquidity Ratio (ratio of cash and marketable securities to current liabilities).

8. Question: Is the Solicitation available in an electronic format (such as MS Word) which would allow electronic input into forms?

Answer: No it is not.